

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/954,518	09/12/2001	Dean M. Philipp	06618-696001

CONFIRMATION NO. 8464

## FORMALITIES LETTER



SCOTT C. HARRIS  
Fish & Richardson P.C.  
Suite 500  
4350 La Jolla Village Drive  
San Diego, CA 92122

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 11/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- o Total additional claim fee(s) for this application is \$40.
  - \$40 for 1 independent claims over 3 .
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 460.

*A copy of this notice **MUST** be returned with the reply.*

*BH*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/20/2002 CVD111 00000055 061050 09954518

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:202	2.00 CH 40.00 OP



H/H



Section #3

Docket No.: 06618-696001

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dean M. Philipp et al.  
Serial No. : 09/954,518  
Filed : September 12, 2001  
Title : INTERNAL LEWIS ACID SINGLE SITE CATALYST FAMILY FOR  
POLYMERIZATION OF POLAR MONOMERS

Art Unit : Unknown  
Examiner : Unknown

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Nonprovisional Application under 37 CFR §1.53(b) mailed November 6, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$370;
- ☒ Payment of the additional/multiple dependent claims fees of \$40;
- ☒ Payment of the \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ Copy of the Notice to File Missing Parts of Nonprovisional Application;
- ☒ Check in the amount of \$475.00 as payment of the above fees; and
- ☒ Other: Postcard

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

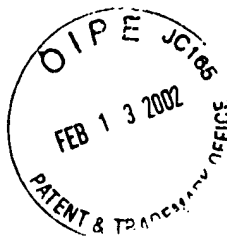
CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 7, 2002  
Date of Deposit

Patricia Wiggins 1/7/02  
Patricia Wiggins

Applicant : Dean M. Ph...  
Serial No. : 09/954,518  
Filed : September 12, 2001  
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Docket No.: 06618-696001

Respectfully submitted,

Date: 1/7/02

Timothy A. Potter  
Reg. No. 41,258

Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071

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